

# THE NATURE OF POTENTIALS AND GAUGE TRANSFORMATIONS IN CLASSICAL ELECTROMAGNETICS

Dragan Poljak,  
University of Split, FESB



# Scope

- This work deals with a meaning of the potentials and gauge transformations in classical electromagnetics.
- In majority of EM course textbooks Maxwell equations are postulated from the empirical basis featuring the use of electric and magnetic fields as quantities of interest.
- EM potentials are treated as ***auxilliary mathematical functions*** being neither unique nor measurable, thus not having any physical meaning.

# Scope

- The problem of uniqueness is handled by gauge transformations.
- The choice of different gauge conditions is often considered to be governed by pure mathematical conveniences not affecting the electric and magnetic fields.
- These fields are then regarded as gauge invariant.

# Scope

- In modern physics the ***principle of gauge invariance*** is considered to be the keystone for any physical field.
- From this view the gauge conditions could be regarded as ***continuity equations in electromagnetics***.
- This obvious ambiguity and dichotomy have become a rather hot topic in both history and philosophy of physics.

*The vector potential is the mathematical quantity which can be considered as the fundamental quantity of the electromagnetic theory.*

*James Clerk Maxwell*

# Introduction

- In classical electromagnetics the potentials are not regarded as unique quantities and, therefore, are not measurable physical quantities.
- They are viewed as merely mathematical constructs not represent physically existing fields.
- The electric field and magnetic field can be readily defined in terms of potentials  $A$  and  $\varphi$  as they are invariant under certain gauge transformations.
- Therefore, contrary to the potentials, the fields are uniformly determined.

# Introduction

- Invariance, or symmetry, represents a change in system which does not affect the action integral, or the equation of motions while gauge principle is considered to be a central concept in fundamentals of theoretical physics.
- In classical electromagnetics one starts from Maxwell's equations treating them as mathematical representations of experimentally discovered natural laws.
- The ***continuity equation*** is then considered as a consequence of ***Maxwell's equations***.

# Introduction

- Within this approach *the fields are gauge invariant*, while *potentials are just auxiliary functions* - pure mathematical constructs without proper physical meaning.
- An opposite approach is mathematically also possible, i.e. if one exploits symmetry properties of the Lagrangian, and thus easily introduces potentials.
- Using such an approach it is possible to derive continuity equation, Lorenz force and Maxwell's equations from a proper Lagrangian.

# Introduction

- In quantum physics  $A$  represents a fundamental quantity in the Schrodinger equation for a charged particle and in interactions occurring in quantum electrodynamics.
- Some authors addressed certain experiments demonstrating the reality and importance of potentials in quantum physics.
- Within the framework of theory of relativity featuring covariant formulation magnetic vector potential is composed with scalar potential into the four potential.



# Introduction

- The problem of physical meaning of ***scalar potential*** is appreciably less pronounced as it can be easily understood as ***potential energy per unit charge***.
- J. C. Maxwell considered vector potential to be a ***stored momentum per unit charge*** and named it ***electromagnetic momentum***.
- Thomson shared a similar attitude and considered vector potential as appropriate ***field momentum per unit charge***.

# Introduction

- Nowadays dominant view in classical electromagnetics textbooks came from Heaviside and Hertz.
- Independently from each other they rewrote the original 20 scalar Maxwell's equations into modern vector form.
- They both treated vector potential as nonphysical, artificial quantities convenient only for easier calculations of physically existing electric and magnetic fields.

# Introduction

- This work discusses a possible meaning of *magnetic vector potential* and *Lorenz gauge* by which vector potential is mathematically completely determined.
- Equation of continuity, expressing the conservation of charge, stemming from symmetry of the Lagrangian in classical mechanics is addressed and then Lorenz gauge is discussed.
- It is shown that ***Lorenz gauge*** can be considered as a ***continuity equation for potentials*** and how this gauge is associated with equation of continuity for charge and current density, respectively.

# Continuity equation and vector potential from gauge invariance

- Lagrangian  $L$  in classical mechanics is defined as difference between kinetic energy ( $W_{\text{kin}}$ ) and potential energy ( $W_{\text{pot}}$ ) of the system.

$$L = \frac{1}{2} m \dot{r}^2 - W_{\text{pot}}(\vec{r})$$

- According to the symmetry of the Lagrangian a total time derivative may be added to  $L$  without changing the equation of motion, one can define a new Lagrangian  $L'$

$$L' = L + q \frac{d\Lambda}{dt}$$

# Continuity equation and vector potential from gauge invariance

- For the point particle the charge density  $\rho$  can be written

$$\rho(\vec{R}, t) = q\delta[\vec{R} - \vec{r}(t)]$$

- In the next step, the current density can be expressed as charge in motion, i.e.

$$\vec{J}(\vec{R}, t) = q \frac{d\vec{r}(t)}{dt} \delta[\vec{R} - \vec{r}(t)]$$

- Integrating over volume  $V$  yields

$$q = \int_V \rho(\vec{R}, t) dV$$

# Continuity equation and vector potential from gauge invariance

- Performing total differentiation with respect to time

$$L' = L + q \left[ \frac{\partial \Lambda}{\partial n} \frac{dr}{dt} + \frac{\partial \Lambda}{\partial t} \right]$$

Lagrangian (2) can be written as follows

$$L'_0 = L_0 + \partial_\mu (J^\mu \Lambda)$$

where:  $\partial_\mu = \frac{\partial}{\partial x^\mu}$   $\mu = 0, 1, 2, 3$   $\partial_\mu \Rightarrow \left( \frac{\partial}{\partial x^0}, \frac{\partial}{\partial x^1}, \frac{\partial}{\partial x^2}, \frac{\partial}{\partial x^3} \right)$

- Note that 0 –komponent pertains to time, while 1, 2 and 3 denote x, y and z component.

# Continuity equation and vector potential from gauge invariance

$J^\mu$  - current four-vector assigned to the particle

$\Lambda$  – arbitrary function of  $x^\mu$

- It can be written:

$$J^\mu = (\rho, J^x, J^y, J^z)$$

- As the zero label pertains to time component, it follows

$$J^0 = \rho$$

where  $\rho$  is the volume charge density.

# Continuity equation and vector potential from gauge invariance

- Furthermore, it can be written

$$L'_0 = L_0 + \Lambda \partial_\mu J^\mu + J^\mu \partial_\mu \Lambda$$

- Now a new four-vector (four-potential) can be introduced

$$(A_1, A_2, A_3, A_4) = (\varphi, -A)$$

and the new Lagrangian can be written as follows

$$L_{0i} = L_i - J^\mu A_\mu$$



# Continuity equation and vector potential from gauge invariance

- One has

$$L'_{0i} = L_0 + \Lambda \partial_\mu J^\mu - J^\mu A'_\mu$$

where

$$A'_\mu = A_\mu - \partial_\mu \Lambda$$

which can be written as following set of equations:

$$\vec{A}' = \vec{A} + \nabla \Lambda(\vec{R}, t) \quad \varphi' = \varphi - \frac{\partial \Lambda(\vec{R}, t)}{\partial t}$$

where  $A$  and  $A'$  stand for magnetic vector potential, while  $\varphi$  denotes the electric scalar potential.

# Continuity equation and vector potential from gauge invariance

- Therefore,  $A'$  and  $A$  satisfy all the equations and result in same (physically existing) fields.
- $A_\mu$  is not determined by any prescribed initial condition, therefore, a part of  $A_\mu$ , i.e. its one degree of freedom does not have a physical meaning.
- This *spurious degree of freedom* can be eliminated by imposing a constraint, or so-called gauge condition, such as the Lorenz gauge.

# Continuity equation and vector potential from gauge invariance

- Now, Lagrangians  $L_0$  and  $L'_{0i}$  are equivalent if the following condition is satisfied

$$\partial_{\mu} J^{\mu} = 0$$

which can be written in the standard form

$$\nabla \cdot \vec{J}(\vec{R}, t) - \frac{\partial \rho(\vec{R}, t)}{\partial t} = 0$$

- This is *equation of continuity* relating charge and current densities, derived from the gauge invariance of classical mechanics (symmetry property of the Lagrangian).

# Continuity equation and vector potential from gauge invariance

- Conservation of electric charge is a consequence of the Lagrangian gauge invariance.
- The same result could be obtained from total electromagnetic Lagrangian

$$L_{EM} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + J_i A_i - \rho\varphi$$

where

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

# Continuity equation and vector potential from gauge invariance

- Faraday tensor is given by

$$F_{\mu\nu} = \begin{pmatrix} 0 & -E_x & -E_y & -E_z \\ E_x & 0 & -B_z & B_y \\ E_y & B_z & 0 & -B_x \\ E_z & -B_y & B_x & 0 \end{pmatrix}$$

- In the standard vector notation the total electromagnetic Lagrangian density can be written as

$$L_d = \frac{1}{2\mu} \cdot (\nabla \times \vec{A})^2 - \frac{1}{2} \cdot \varepsilon \left( \nabla \varphi + \frac{\partial \vec{A}}{\partial t} \right)^2 + \vec{J} \cdot \vec{A} - \varphi \cdot \rho$$

- Lagrangian density can be easily shown to contain four Maxwell equations.

# The *meaning* of vector potential

- Magnetic vector potential is to a certain extent associated with total momentum of the charged particle.
- For a charged particle moving along one axis (i) of rectangular coordinate system by a velocity  $v_i$  a Lagrangian can be written in the form

$$L = \frac{1}{2}mv_i^2 - W_{pot} + qvA_i - q\varphi$$

where  $W_{pot}$  and  $q\varphi$  are different contributions to potential energy.

# The *meaning* of vector potential

- The canonical momentum  $p_i$  is defined as

$$p_i = \frac{\partial L}{\partial v_i}$$

where  $p_i = mv_i + qA_i$  can be regarded as generalized momentum being conserved under certain conditions.

- This generalized momentum is now composed from well-established **linear momentum**  $mv_i$  and quantity  $qA_i$  which can be referred to as **EM momentum** which is, in accordance to the *Maxwell-Thomson* view of magnetic vector potential, **the stored momentum per unit charge**.

# The *meaning* of Lorentz gauge

- Expressing the electric and magnetic fields in terms of scalar and vector potential

$$\vec{B} = \nabla \times \vec{A} \quad \vec{E} = -\frac{\partial \vec{A}}{\partial t} - \nabla \varphi$$

Gauss law for the electric field

$$\nabla \cdot \vec{E} = \frac{\rho}{\varepsilon}$$

can be now written

$$\nabla^2 \varphi + \frac{\partial}{\partial t} (\nabla \cdot \vec{A}) = -\frac{\rho}{\varepsilon}$$



# The *meaning* of Lorentz gauge

- In addition, from the generalized Ampere's law

$$\nabla \times \vec{H} = \vec{J} + \frac{\partial \vec{D}}{\partial t}$$

one obtains

$$-\nabla \times \nabla \times \vec{A} = -\mu \vec{J} + \mu \varepsilon \left[ \nabla \left( \frac{\partial \varphi}{\partial t} \right) + \frac{\partial^2 \vec{A}}{\partial t^2} \right]$$

- Taking into account identity  $\nabla \times \nabla \times \vec{A} = \nabla (\nabla \cdot \vec{A}) - \nabla^2 \vec{A}$

It follows

$$\nabla^2 \vec{A} - \mu \varepsilon \frac{\partial^2 \vec{A}}{\partial t^2} = -\mu \vec{J} + \nabla (\nabla \cdot \vec{A}) + \mu \varepsilon \nabla \left( \frac{\partial \varphi}{\partial t} \right)$$

# The *meaning* of Lorentz gauge

- Now, it follows

$$\nabla^2 \vec{A} - \mu\epsilon \frac{\partial^2 \vec{A}}{\partial t^2} = -\mu\vec{J} + \nabla \left( \nabla \cdot \vec{A} + \mu\epsilon \frac{\partial \varphi}{\partial t} \right)$$

- Choosing Lorentz gauge

$$\nabla \cdot \vec{A} + \mu\epsilon \frac{\partial \varphi}{\partial t} = 0$$

one deals with wave equation for potentials.

- In covariant formalism one has

$$\partial^\mu A_\mu = 0 \quad \text{or} \quad \partial_\mu A^\mu = 0 \quad \text{where} \quad \partial^\mu = \left( \frac{\partial}{\partial t}, \nabla \right) \quad \partial_\mu = \left( \frac{\partial}{\partial t}, -\nabla \right)$$

# The *meaning* of Lorentz gauge

- Therefore, Lorentz gauge leads to the set of nonhomogeneous wave equations for scalar and vector potential, respectively:

$$\nabla^2 \varphi - \mu\varepsilon \frac{\partial^2 \varphi}{\partial t^2} = -\frac{\rho}{\varepsilon} \qquad \nabla^2 \vec{A} - \mu\varepsilon \frac{\partial^2 \vec{A}}{\partial t^2} = -\mu \vec{J}$$

- Now, assuming that  $J$  and  $\rho$  represent all sources within a volum  $V$  the solution of of the potential wave equations can be expressed by following particular integrals:

$$\vec{A}(r, t) = \frac{\mu}{4\pi} \int_V \frac{\vec{J}(\vec{r}', t - R/c)}{R} dV', \quad \varphi(\vec{r}, t) = \frac{1}{4\pi\varepsilon} \int_V \frac{\rho(\vec{r}', t - R/c)}{R} dV'$$

# The *meaning* of Lorentz gauge

- The variables pertaining to source and observation point, respectively, are shown in Fig 1.

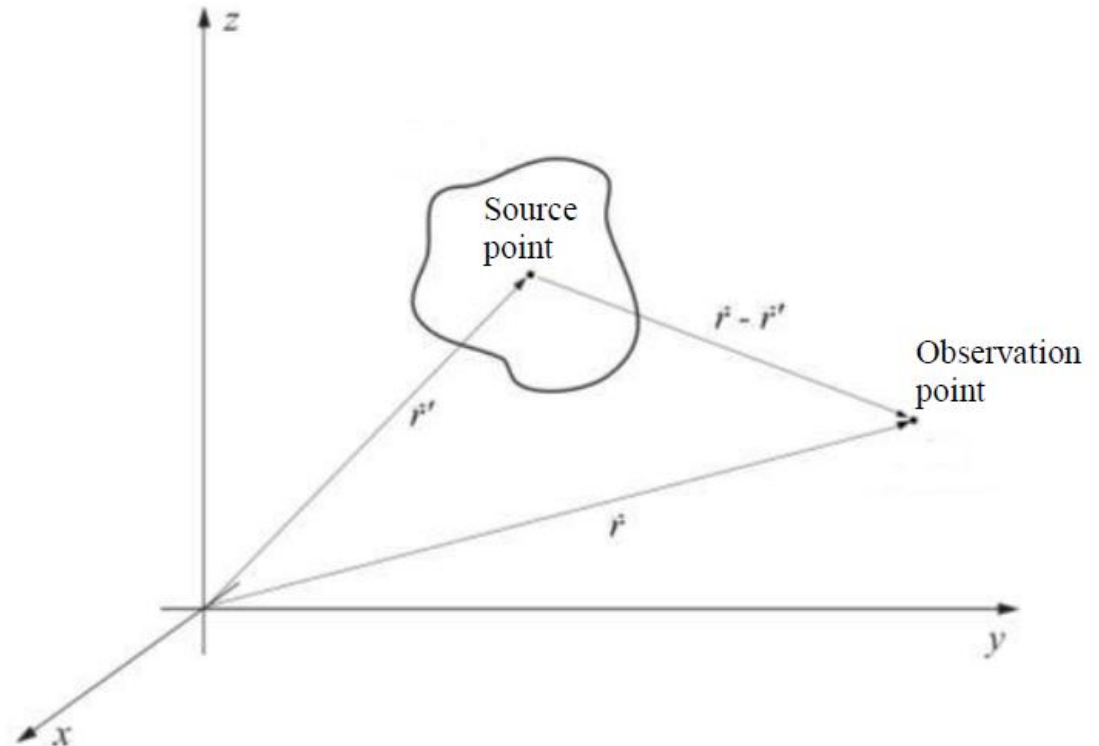


Fig.1. The source point and observation point

# The *meaning* of Lorentz gauge

- What is the meaning of Lorentz gauge?

$$\nabla \cdot \vec{A} + \mu\epsilon \frac{\partial \varphi}{\partial t} = 0$$

- To come up with an answer it is helpful to write a counterpart in the frequency domain

$$\nabla \cdot \vec{A} + j\omega\mu\epsilon \cdot \varphi = 0$$

- For time-harmonic dependence set of equations the retarded potentials simplify into

$$\varphi(\vec{r}) = \frac{1}{4\pi\epsilon} \int_V \frac{\rho(\vec{r}') e^{-jkR}}{R} dV', \quad \vec{A}(r) = \frac{\mu}{4\pi} \int_V \frac{\vec{J}(\vec{r}') e^{-jkR}}{R} dV'$$

# The *meaning* of Lorentz gauge

- Now, one has

$$\frac{\mu}{4\pi} \int_{V'} \nabla \cdot \vec{J}(\vec{r}') \frac{e^{-jkR}}{R} dV' + \frac{j\omega\mu}{4\pi} \int_{V'} \rho(\vec{r}') \frac{e^{-jkR}}{R} dV' = 0$$

which can be written

$$\int_{V'} \left[ \nabla \cdot \vec{J}(\vec{r}') + j\omega\rho(\vec{r}') \right] \frac{e^{-jkR}}{R} dV' = 0$$

or, using the Green function notation one has

$$\int_{V'} \left[ \nabla \cdot \vec{J}(\vec{r}') + j\omega\rho(\vec{r}') \right] G(\vec{r}, \vec{r}') dV' = 0$$

# The *meaning* of Lorentz gauge

- Now, as  $G(\vec{r}, \vec{r}')$  is always different from zero one obtains

$$\nabla \cdot \vec{J}(\vec{r}') + j\omega\rho(\vec{r}') = 0$$

which is a frequency domain counterpart of

$$\nabla \cdot \vec{J}(\vec{R}, t) - \frac{\partial \rho(\vec{R}, t)}{\partial t} = 0$$

# The *meaning* of Lorentz gauge

- Therefore, equation

$$\nabla \cdot \vec{A} + \mu\epsilon \frac{\partial \varphi}{\partial t} = 0$$

or its frequency domain counterpart

$$\nabla \cdot \vec{A} + j\omega\mu\epsilon \cdot \varphi = 0$$

essentially represents the continuity equation for potentials.

- This could be regarded as a 'missing' physical meaning of Lorentz gauge one may look for.



# Conclusion

- The nature of the potentials and gauge transformations in classical electromagnetics is discussed in this work.
- In the most commonly used approach in textbooks on electromagnetics Maxwell equations dealing with the fields and their sources are postulated from the empirical basis.
- Within such an approach the potentials are derived from Maxwell's equations as purely mathematical construct aiming to provide one with more efficient calculation tool for fields.

# Conclusion

- These potentials are neither unique nor measurable quantities and, consequently, are not considered to have a physical meaning.
- The problem of uniqueness is handled by gauge transformations, while different gauge conditions are often considered as pure mathematical conveniences not affecting the electric and magnetic fields.

# Conclusion

- In addition to the fact that the principle of gauge invariance is considered to be crucial for the rigorous mathematical description of fields in contemporary physics it is also shown that ***Lorenz gauge*** could be regarded as ***continuity equation for potentials***.
- Finally, physical meaning of ***magnetic vector potential*** is associated with ***electromagnetic momentum***, while ***scalar potential*** is regarded as ***energy per unit charge***.

# Thank you for your kind attention!

Dragan Poljak

*University of Split, FESB*

dpoljak@fesb.hr

